

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001\_1740

First Named Inventor : Junichiro YOSHIOKA et al.

Title: SEMICONDUCTOR WAFER HOLDER AND ELECTROPLATING  
SYSTEM FOR LABELING A SEMICONDUCTOR WAFER

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

3. ☒ Specification (Total Pages: 35)  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- a. ☐ Computer Readable Form  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statement verifying identity of above copies

- Background of the Invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

## ACCOMPANYING APPLICATION PARTS

4. ☒ Drawings (35 USC 113) (Total Sheets: 14)

9. ☐ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
11. ☐ Power of Attorney  
12. ☒ English Translation Document (if applicable)

5. ☒ Oath or Declaration (Total Pages: 3)

- a.1. ☐ Newly executed (original or copy)  
a.2. ☐ Unexecuted  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

13. ☐ Copies of IDS Citations  
14. ☐ Preliminary Amendment  
15. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
17. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.  
18. ☐ Other

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/463,698

Prior Application Information: Examiner E. Smith-Hicks Group Art Unit 1741

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

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November 23, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Junichiro YOSHIOKA et al. :  
Serial No. NEW : Attn: Application Branch  
Filed November 23, 2001 : Attorney Docket No. 2001\_1740

SEMICONDUCTOR WAFER HOLDER AND  
ELECTROPLATING SYSTEM FOR PLATING A  
SEMICONDUCTOR WAFER  
(Rule 1.53(b) Continuation  
of Serial No. 09/463,698,  
Filed January 31, 2000)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1,814.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) continuation application . . . . . \$740.00

Additional Claims Fee:

Excess of Twenty . . . . . \$738.00

Independent . . . . . \$336.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Junichiro YOSHIOKA et al.

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